# Parsing V <br> Operator-Precedence Parsing 

COMP 412<br>Fall 2005

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## Shift-reduce Parsing

Shift reduce parsers are easily built and easily understood

A shift-reduce parser has just four actions

- Shift - next word is shifted onto the stack
- Reduce - right end of handle is at top of stack

Locate left end of handle within the stack
Pop handle off stack \& push appropriate lhs

- Accept - stop parsing \& report success
- Error - call an error reporting/recovery routine

Accept \& Error are simple
Shift is just a push and a call to the scanner
Reduce takes |rhs| pops \& 1 push

## An Important Lesson about Handles

- To be a handle, a substring of a sentential form $\gamma$ must have two properties:
- It must match the right hand side $\beta$ of some rule $A \rightarrow \beta$
- There must be some rightmost derivation from the goal symbol that produces the sentential form $\gamma$ with $A \rightarrow \beta$ as the last production applied
- We have seen that simply looking for right hand sides that match strings is not good enough
- Critical Question: How can we know when we have found a handle without generating lots of different derivations?
- Answer: we use look-ahead in the grammar along with tables produced as the result of ananyzing the grammar.
- There are a number of different ways to do this.
- We will look at two: operator precedence and LR parsing


## Finding Handles

- Assumption: in a well-formed grammar, every non-terminal symbol can be found in some legal sentential form
- That is, given a non-terminal $A$ there is a derivation that produces a sentential form with $A$ somewhere in it
- Consequence: there is a rightmost derivation that produces a sentential form $\alpha A \delta$ with $A$ as the last non-terminal.
- Consequence: If $A \rightarrow \beta$ is a production in the grammar, during shift-reduce parsing, $\beta$ on the stack is a handle when followed by $\delta$ in the input.
- Special case: let $\underline{d}$ be the first character of $\delta$. For some grammars, $\beta$ on the stack followed by $\underline{d}$ will always be a handle.
- Even more special case: Let $Z$ be the last symbol (terminal or non-terminal) of $\beta$. In some restricted grammars, called simple precedence grammars, $Z$ on the stack followed by $\underline{d}$ in the input is always the end of a handle.


## Operator Precedence Parsing

- Even more special case:
- Operator grammar: no production has two non-terminal symbols in sequence on the right-hand side
- An operator grammar can be parsed using shift-reduce parsing and precedence relations between terminal symbols to find handles. This strategy is known as operator precedence parsing.
- Precedence relations: given two terminal symbols $\underline{x}$ and $\underline{y}$
- We say that they have equal precedence or $\underline{x} 8 \underline{y}$ if they appear on the same right-hand side of a rule in the grammar.
- We say that $\underline{x}$ has lower precedence than $\underline{y}$ or $\underline{x} \mathbf{A} \underline{y}$ if $\underline{x}$ can appear as the last terminal symbol before $a$ handle in which $y$ appears as the first terminal symbol.
- We say that $\underline{x}$ has greater precedence than $\underline{y}$ or $\underline{x} S$ if $y$ can appear as the first terminal symbol after a handle in which $\underline{x}$ appears as the last terminal symbol.


## Operator Precedence Parse Algorithm

```
let Stack contain "#";
nextToken = first input token;
while (topTerm(Stack) & "#" and input = "#") do begin
    p = precedence [topTerm, nextToken];
    if p== "A" or p == "8" then /* shift */
        shift nextToken onto stack and advance input;
    else if p== "S" then begin /* reduce */
        find the shallowest pair of terminals d}\mathrm{ and s}\mathrm{ son the stack
            such that d}\mathbf{A}\underline{s}\mathrm{ , where d}\mathrm{ is the deeper terminal;
        pop everything above d off the stack;
        push N, the general non-terminal, onto the stack;
    end
    else if p== "acc" then exit loop; /* accept */
    else /* precedence undefined */ report error; /* error */
end
```


## Operator Precedence Example

- Recall the simple grammar:

- Operator grammar:

| 1 | Goal | $\rightarrow$ | $\underline{a} A \underline{d} \underline{e}$ |
| :--- | :---: | :---: | :---: |
| 2 | $A$ | $\rightarrow$ | $A \underline{b} \underline{c}$ |
| 3 |  | 1 | $\underline{b}$ |

## Operator Precedence Example

- Recall the simple grammar:

Operator Precedence Table

| 1 | Goal | $\rightarrow \underline{a} A B \underline{e}$ |  |
| :--- | :---: | :--- | :--- |
| 2 | $A$ | $\rightarrow A \underline{b} \underline{c}$ |  |
| 3 |  | $l$ | $\underline{b}$ |
| 4 | $B$ | $\rightarrow \underline{d}$ |  |


|  | $\underline{\mathbf{a}}$ | $\underline{\mathbf{b}}$ | $\underline{\mathbf{c}}$ | $\underline{\mathbf{d}}$ | $\underline{\mathbf{e}}$ | $\underline{\#}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\#$ | A |  |  |  |  | $\mathbf{a c c}$ |
| $\underline{\mathbf{a}}$ |  | A |  | 8 |  |  |
| $\underline{\mathbf{b}}$ |  | S | 8 | S |  |  |
| $\underline{\mathbf{c}}$ |  | S |  | S |  |  |
| $\underline{\mathbf{d}}$ |  |  |  |  | 8 |  |
| $\underline{\mathbf{e}}$ |  |  |  |  |  | S |

- Operator grammar:

| 1 | Goal | $\rightarrow$ | $\underline{a} A \underline{d} \underline{e}$ |
| :--- | :---: | :--- | :--- |
| 2 | $A$ | $\rightarrow$ | $A \underline{b} \underline{c}$ |
| 3 |  | 1 | $\underline{b}$ |

## Operator Precedence Example

- Recall the simple grammar:

Operator Precedence Table

| 1 | Goal | $\rightarrow \underline{a} A B \underline{e}$ |  |
| :--- | :---: | :--- | :--- |
| 2 | $A$ | $\rightarrow \underline{A} \underline{b} \underline{c}$ |  |
| 3 |  | $l$ | $\underline{b}$ |
| 4 | $B$ | $\rightarrow \underline{d}$ |  |

- Operator grammar:

| 1 | Goal | $\rightarrow$ | $\underline{a} A \underline{d} \underline{e}$ |
| :--- | :---: | :--- | :--- |
| 2 | $A$ | $\rightarrow$ | $A \underline{b} \underline{c}$ |
| 3 |  | 1 | $\underline{b}$ |


| Sentential <br> Form | Next Red'n <br> Prod'n |  |
| :---: | :---: | :---: |
| Pos'n |  |  |
| abbcde | 3 | 2 |
| a A bcde | 2 | 4 |
| a A de | 1 | 4 |
| Goal | - | - |

## Operator Precedence Parse Tables for Expressions

| 1 | Goal | $\rightarrow$ | Expr |
| :--- | :--- | :--- | :--- |
| 2 | Expr | $\rightarrow$ | Expr + Term |
| 3 |  | $\mid$ | Expr - Term |
| 4 |  | $\mid$ | Term |
| 5 | Term | $\rightarrow$ | Term * Factor |
| 6 |  | $\mid$ | Term I Factor |
| 7 |  | $\mid$ | Factor |
| 8 | Factor | $\rightarrow$ | number |
| 9 |  | $\mid$ | $\underline{\text { id }}$ |
| 10 |  | $\mid$ | Expr $)$ |

## Operator Precedence Tables for Expressions

| 1 | Goal | $\rightarrow$ | Expr |
| :--- | :--- | :--- | :--- |
| 2 | Expr | $\rightarrow$ | Expr + Term |
| 3 |  | $\mid$ | Expr - Term |
| 4 |  | I | Term |
| 5 | Term | $\rightarrow$ | Term * Factor |
| 6 |  | $\mid$ | Term I Factor |
| 7 |  | I | Factor |
| 8 | Factor | $\rightarrow$ | number |
| 9 |  | $\mid$ | $\underline{\text { id }}$ |
| 10 |  | $\mid$ | (Expr $)$ |


|  | id | num | + | - | $*$ | I | ( | ) | \# |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\#$ | A | A | A | A | A | A | A |  | acc |
| id |  |  | S | S | S | S |  | S | S |
| num |  |  | S | S | S | S |  | S | S |
| + | A | A | S | S | A | A | A | S | S |
| - | A | A | S | S | A | A | A | S | S |
| * | A | A | S | S | S | S | A | S | S |
| 1 | A | A | S | S | S | S | A | S | S |
| ( | A | A | A | A | A | A | A | 8 |  |
| ) |  |  | S | S | S | S |  | S | S |

## Operator Precedence Parse of $x-2^{*} y$

| Stack | Prec | Input | Action |
| :--- | :---: | :---: | :---: |
| \# | A | id =num ${ }^{*}$ id \# | shift |
| \# id | S num | id \# |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |

## Operator Precedence Parse of $x-2^{*} y$

| Stack | Prec | Input | Action |
| :---: | :---: | :---: | :---: |
| \# | A | id = num * id \# | shift |
| \# id | S | $=$ num ${ }^{*}$ id \# | reduce |
| \# N | A | $=$ num ${ }^{*}$ id \# |  |

## Operator Precedence Parse of $x-2^{*} y$

| Stack | Prec | Input | Action |
| :---: | :---: | :---: | :---: |
| \# | A | id = num * id \# | shift |
| \# id | S | $=$ num ${ }^{*}$ id \# | reduce |
| \# N | A | $=$ num ${ }^{*}$ id \# | shift |
| \# $\mathbf{N}_{=}$ | A | num ${ }^{*}$ id \# | shift |
| \# $\boldsymbol{N}_{- \text {num }}$ | S | - |  |

## Operator Precedence Parse of $x-2^{*} y$

| Stack | Prec | Input | Action |
| :---: | :---: | :---: | :---: |
| \# | A | id = num *id \# | shift |
| \# id | S | = num * id \# | reduce |
| \# N | A | = num ${ }^{*}$ id \# | shift |
| \# $\boldsymbol{N}=$ | A | num ${ }^{\text {² }}$ \# \# | shift |
| \# $\mathbf{N}_{-}$num | S | * id \# | reduce |
| \# $\boldsymbol{N}=\mathbf{N}$ | A | ${ }_{-}{ }^{\text {¢ }}$ id \# |  |

## Operator Precedence Parse of $x-2^{\star} y$

| Stack | Prec | Input | Action |
| :---: | :---: | :---: | :---: |
| \# | A | id = num *id \# | shift |
| \#id | S | = num * id \# | reduce |
| \# N | A | $=$ num ${ }^{*}$ id \# | shift |
| \# $\mathbf{N}_{=}$ | A | num * id \# | shift |
| \# $\boldsymbol{N}$ - num | S | * id \# | reduce |
| \# $\boldsymbol{N}-\mathbf{N}$ | A | * id \# | shift |
| \# $\mathbf{N}_{-} \mathbf{N}^{*}$ | A | id \# | shift |
| \# $\boldsymbol{N}=\mathbf{N}_{-}^{*} \underline{i d}$ | S | \# |  |

## Operator Precedence Parse of $x-2^{*} y$

| Stack | Prec | Input | Action |
| :---: | :---: | :---: | :---: |
| \# | A | id = num *id \# | shift |
| \#id | S | = num * id \# | reduce |
| \# N | A | = num ${ }^{\text {* }}$ id ${ }^{\text {d }}$ | shift |
| \# $\mathbf{N}_{=}$ | A | num * id \# | shift |
| \# $\boldsymbol{N}$ - num | S | * id \# | reduce |
| \# $\boldsymbol{N}$ - $\mathbf{N}$ | A | * id \# | shift |
| \# $\boldsymbol{N}=\mathbf{N}$ * | A | id \# | shift |
| \# $\boldsymbol{N}=\mathbf{N}^{*}$ id | S | \# | reduce |
| \# $\mathbf{N}_{-} \mathbf{N}_{-} \mathrm{N}$ | S | \# |  |

## Operator Precedence Parse of $x-2^{*} y$

| Stack | Prec | Input | Action |
| :---: | :---: | :---: | :---: |
| \# | A | id = num *id \# | shift |
| \# id | S | = num * id \# | reduce |
| \# N | A | $=$ num ${ }^{*}$ id \# | shift |
| \# $\mathbf{N}_{=}$ | A | num * id \# | shift |
| \# $\mathbf{N}_{-\mathrm{n}} \mathbf{n m m}$ | S | * id \# | reduce |
| \# $\boldsymbol{N}=\mathbf{N}$ | A | * id \# | shift |
| \# $\boldsymbol{N}=\mathbf{N}^{*}$ | A | id \# | shift |
| \# $\mathbf{N}_{-} \mathbf{N}^{\star}$ id | S | \# | reduce |
| \# $N_{-N}{ }_{-}^{*}$ N | S | \# | reduce |
| \# $\mathbf{N}_{=} \mathrm{N}$ | S | \# |  |

## Operator Precedence Parse of $x-2^{*} y$

| Stack | Prec | Input | Action |
| :---: | :---: | :---: | :---: |
| \# | A | id = num * id \# | shift |
| \# id | S | - num * id \# | reduce |
| \# N | A | = num ${ }^{\text {* }}$ - \# | shift |
| \# $\mathbf{N}_{=}$ | A | num * id \# | shift |
| \# $\mathbf{N}_{- \text {num }}$ | S | * id \# | reduce |
| \# $\boldsymbol{N}$ | A | *id \# | shift |
| \# $\boldsymbol{N}-\mathbf{N}$ * | A | id \# | shift |
| \# $\boldsymbol{N}=\mathbf{N}^{*}$ id | S | \# | reduce |
| \# $\mathbf{N}_{-} N_{-}^{*} \mathrm{~N}$ | S | \# | reduce |
| \# $N_{-}$N | S | \# | reduce |
| \# N | acc | \# |  |

## Operator Precedence Parse of $x-2^{\star} y$

| Stack | Prec | Input | Action |
| :---: | :---: | :---: | :---: |
| \# | A | id = num * id \# | shift |
| \# id | S | = num ${ }^{*}$ id \# | reduce |
| \# N | A | - num * id \# | shift |
| \# $\mathbf{N}_{=}$ | A | num ${ }^{*}$ id \# | shift |
| \# $\mathbf{N}_{- \text {num }}$ | S | ※ ${ }_{-}^{\text {id }}$ \# | reduce |
| \# $\boldsymbol{N}$ - N | A | * id \# | shift |
| \# $\mathrm{N}_{-} \mathrm{N}^{*}$ | A | id \# | shift |
| \# $\boldsymbol{N}-\mathbf{N}^{*}$ id | S | \# | reduce |
| \# $N_{-N *}^{*}$ N | S | \# | reduce |
| \# $\mathbf{N}_{-} \mathrm{N}$ | S | \# | reduce |
| \# N | acc | \# | accept |

## Computing Operator Precedence Relations

- Define the following relations
- NBEFORE tiff there is some production $A \rightarrow \beta$ in which non-terminal Noccurs immediately before terminal $\underline{t}$
- NAFTER tiff there is some production $A \rightarrow \beta$ in which non-terminal $N$ occurs immediately after terminal $\underline{t}$
- $N_{1}$ FIRST $N_{2}$ iff there is some production $N_{1} \rightarrow \beta$ in which non-terminal $N_{2}$ occurs as the first symbol on the rhs
- $N_{1}$ LAST $N_{2}$ iff there is some production $N_{1} \rightarrow \beta$ in which non-terminal $\mathrm{N}_{2}$ occurs as the last symbol on the rhs
- NFIRSTTERM $\underline{\underline{~ i f f}}$ there is some production $N \rightarrow \beta$ in which $\underline{t}$ is the first terminal on the rhs
- NLASTTERM $\underline{t}$ iff there is some production $N \rightarrow \beta$ in which $\underline{t}$ is the last terminal on the rhs


## Computing Operator Precedence Relations

- $\underline{t}_{1}$ EQUAL $\underline{t}_{2}$
- iff there is some production $A \rightarrow \beta$ in which $\underline{t}_{\underline{1}}$ immediately precedes $\underline{t}_{2}$ on the right hand side or they are separated by a single non-terminal
- $\underline{t}_{1}$ LESSTHAN $\underline{t}_{2}$
- LESSTHAN $=$ AFTERT $\cdot$ FIRST $^{\star} \cdot$ FIRSTTERM
$-N_{1}$ AFTER $\underline{t}_{1} \& N_{1} \rightarrow^{*} N_{2} \alpha \& N_{2} \rightarrow \beta \& \underline{t}_{2}$ is the first terminal in $\beta$
- $\underline{t}_{1}$ GREATERTHAN $\underline{t}_{2}$
- GREATERTHAN = (LAST* $\cdot$ LASTTERM) ${ }^{\top} \cdot$ BEFORE
- $N_{1}$ BEFORE $\underline{t}_{2} \& N_{1} \rightarrow \star \alpha N_{2} \& N_{2} \rightarrow \beta$ \& $\underline{t}_{1}$ is the last terminal in $\beta$


## Operator Precedence Example

- Recall the operator grammar:

| 0 | $G$ | $\rightarrow$ | $\# S \#$ |
| :--- | :--- | :--- | :--- |
| 1 | $S$ | $\rightarrow$ | $\underline{\mathrm{a}} A \underline{\mathrm{~d}} \underline{\mathrm{e}}$ |
| 2 | $A$ | $\rightarrow \boldsymbol{A} \underline{\underline{c}} \underline{ }$ |  |
| 3 |  | 1 | $\underline{\mathrm{~b}}$ |

Operator Precedence Table

|  | $\mathbf{a}$ | $\underline{\mathbf{b}}$ | $\underline{\mathbf{c}}$ | $\underline{\mathbf{d}}$ | $\underline{\mathbf{e}}$ | $\underline{\#}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\#$ | A |  |  |  |  | $\mathbf{a c c}$ |
| $\underline{\mathbf{a}}$ |  | A |  | 8 |  |  |
| $\underline{\mathbf{b}}$ |  | S | 8 | S |  |  |
| $\underline{\mathbf{c}}$ |  | S |  | S |  |  |
| $\underline{\mathbf{d}}$ |  |  |  |  | 8 |  |
| $\underline{\mathbf{e}}$ |  |  |  |  |  | S |

## Operator Precedence Example

- Recall the operator grammar:
- Relations

| LAST | $\mathbf{G}$ | $S$ | $A$ |
| :---: | :---: | :---: | :---: |
| $G$ | 0 | 0 | 0 |
| $S$ | 0 | 0 | 0 |
| $A$ | 0 | 0 | 0 |


| LAST $^{*}$ | $G$ | $S$ | $A$ |
| ---: | :---: | :---: | :---: |
| $G$ | 1 | 0 | 0 |
| $S$ | 0 | 1 | 0 |
| $A$ | 0 | 0 | 1 |


| LASTTERM | $\underline{a}$ | $\underline{\mathbf{b}}$ | $\underline{\mathbf{c}}$ | $\underline{\mathbf{d}}$ | $\underline{\mathbf{e}}$ | $\#$ |
| ---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $G$ | 0 | 0 | 0 | 0 | 0 | 1 |
| $S$ | 0 | 0 | 0 | 0 | 1 | 0 |
| $A$ | 0 | 1 | 1 | 0 | 0 | 0 |


| BEFORE | $\underline{\mathbf{a}}$ | $\underline{\mathbf{b}}$ | $\underline{\mathbf{c}}$ | $\underline{\mathbf{d}}$ | $\underline{\mathbf{e}}$ | $\underline{\#}$ |
| ---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $G$ | 0 | 0 | 0 | 0 | 0 | 0 |
| $S$ | 0 | 0 | 0 | 0 | 0 | 1 |
| $A$ | 0 | 1 | 0 | 1 | 0 | 0 |

Operator Precedence Example

| LASTTERM $^{\top}$ | $G$ | $S$ | $A$ |
| :---: | :---: | :---: | :---: |
| $\underline{\mathbf{a}}$ | 0 | 0 | 0 |
| $\underline{\mathbf{b}}$ | 0 | 0 | 1 |
| $\underline{\mathbf{c}}$ | 0 | 0 | 1 |
| $\underline{\mathbf{d}}$ | 0 | 0 | 0 |
| $\underline{\mathbf{e}}$ | 0 | 1 | 0 |
| $\#$ | 1 | 0 | 0 |


| BEFORE |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | $\underline{\mathbf{b}}$ | $\mathbf{c}$ | $\underline{\mathbf{d}}$ | $\underline{\mathbf{e}}$ | $\#$ |  |
|  | $\boldsymbol{G}$ | 0 | 0 | 0 | 0 | 0 | 0 |
|  | $S$ | 0 | 0 | 0 | 0 | 0 | 1 |
|  | $A$ | 0 | 1 | 0 | 1 | 0 | 0 |


| GRTRTHAN | $\underline{\mathbf{a}}$ | $\underline{\mathbf{b}}$ | $\underline{\mathbf{c}}$ | $\underline{\mathbf{d}}$ | $\underline{\mathbf{e}}$ | $\#$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\underline{\mathbf{a}}$ | 0 | 0 | 0 | 0 | 0 | 0 |
| $\underline{\mathbf{b}}$ | 0 | 1 | 0 | 1 | 0 | 0 |
| $=\underline{\mathbf{c}}$ | 0 | 1 | 0 | 1 | 0 | 0 |
| $\underline{\mathbf{d}}$ | 0 | 0 | 0 | 0 | 0 | 0 |
| $\underline{\mathbf{e}}$ | 0 | 0 | 0 | 0 | 0 | 1 |
| $\#$ | 0 | 0 | 0 | 0 | 0 | 0 | |  | $\underline{\mathbf{a}}$ | $\underline{\mathbf{b}}$ | $\underline{\mathbf{c}}$ | $\underline{\mathbf{d}}$ | $\underline{\mathbf{e}}$ | $\underline{\#}$ |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $\#$ | A |  |  |  |  | $\mathbf{a c c}$ |
| $\underline{\mathbf{a}}$ |  | A |  | 8 |  |  |
| $\underline{\mathbf{b}}$ |  | S | 8 | S |  |  |
| $\underline{\mathbf{c}}$ |  | S |  | S |  |  |
| $\underline{\mathbf{d}}$ |  |  |  |  | 8 |  |
| $\underline{\mathbf{e}}$ |  |  |  |  |  | S |

Comp 412 Fall 2005

## Final Remarks on Operator Precedence

- Developed by Floyd for expression grammar
- But has been used for whole languages
- Sometimes used in a hybrid parser with top-down recursive descent
- Abstract syntax trees are easy to construct
- Keep a pointer to the AST for each non-terminal in its $N$ node on the stack
- When a reduction is performed, create an operator node with pointers to the popped nodes within it - make this the root of the tree pointed to by the non-terminal pushed onto the stack
- When parsing stops, a pointer to the AST is on top of the stack
- Full parse trees are hard to construct

